



3743

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Serial No. 10/612,434

Applicants: Alan Wu et al  
Group Art Unit: 3743  
Filing Date: July 2, 2003  
Title: BAFFLED SURFACE COOLED HEAT EXCHANGER  
Attorney Docket No.: 60680-705

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Applicant submits this Supplemental Information Disclosure Statement in accordance with the duty of disclosure under 37 C.F.R. §1.56 and §1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b)(3). Enclosed herewith is a List of References Cited by Applicant (PTO-1449) (one sheet), as well as a copy of each non- United States reference listed thereon. In accordance with 37 C.F.R. § 1.98(a)(2)(iv), a copy of an International Search Report obtained in connection with a corresponding application under the Patent Cooperation Treaty has been included herewith.

All of the references listed on the attached Form PTO-1449 were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

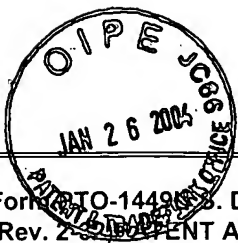
EXECUTED at Toronto, Ontario, Canada, this 15<sup>th</sup> day of December 2003.

Respectfully submitted,

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 Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-2001) PATENT AND TRADEMARK OFFICE		Attorney Docket No. 60680-705	Serial No. 10/612,434			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets if Necessary)		Applicants: Wu <i>et al</i>				
		Filing Date July 2, 2003	Group Art Unit: 3743			
U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date (MM/DD/YY)	Name	Class	Subclass	Filing Date if Appropriate (MM/DD/YY)
	3,650,310	03/21/72	Childress			
	4,072,188	02/07/78	Wilson <i>et al</i>			

## FOREIGN PATENT DOCUMENTS

						Translation	
Examiner Initial	Document Number	Date	Country	Class	Sub-Class	Yes	No
	259,824	10/21/1926	U.K.				
	WO 94/23257 A1	10/13/1994	WO				
	WO 03/071213 A1	08/28/2003	WO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED	
* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Wu, et al.

Docket No.

60680-705

Serial No.

10/612,434

Filing Date

07/02/2003

Examiner

UNKNOWN

Group Art Unit

3743

Invention:

BAFFLED SURFACE COOLED HEAT EXCHANGER



I hereby certify that this Supplemental IDS, PTO-1449 International Search Report, 3 Foreign References  
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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